



SUBRECIPIENT COMMITMENT FORM

SECTION A: Basic Proposal Information

UNCG Information

PI: _____
 Prime Sponsor: _____ Federal?
 Proposal Title: _____

 Total Budget: _____
 Period of Performance: ____/____/____ To: ____/____/____

Subrecipient Information

PI: _____
 Organization Legal Name: _____

 Sub. Budget Total: _____
 Cost-Sharing Amount: _____
 Period of Performance: ____/____/____ To: ____/____/____

SECTION B: Subrecipient Eligibility

- Yes No Is the subrecipient organization, PI, or any other employee/student planning to participate in the project presently disbarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in any federal department or agency?
- Yes No Is the subrecipient presently indicted or otherwise criminally or civilly charged by a government entity?
- Yes No Has the subrecipient had one or more contracts terminated for default by any federal agency within three (3) years preceding this offer?

SECTION C: Subrecipient Organization Information

DUNS #: _____ Federal Employer ID Number (EIN): _____
 SAM Registered? Yes Exp. Date: ____/____/____ No US Congressional District: _____
 F/A Rate URL: _____

Subrecipient PI or Administrative Contact: (Required)

Name: _____
 Title: _____
 Phone: _____ Email: _____

Subrecipient Authorized Official (AO): (Required)

Name: _____
 Title: _____
 Phone: _____ Email: _____

Subrecipient is identified as:

- Select from List Other: _____

SECTION D: Subrecipient Proposal Information

Fringe Benefit Rates included in this project are calculated based on:

- Rates consistent with or lower than subrecipient organization's federally negotiated rates.
 Other rates: _____

Facilities and Administrative Rates for the proposal are calculated based on:

- Subrecipient Organization's federally negotiated F&A rates for this type of work.
 A restricted F&A rate published by the Federal sponsoring agency. Rate: ____% on base: _____
 Other rates: _____
 Not applicable, subrecipient is not requesting payment of F&A costs.

Research Subjects Information (Required)

Human Subjects

- Yes No Human Subjects will be involved in the subrecipient's portion of this project. FWA #: _____

Vertebrate Animal Care and Use

- Yes No Animals will be involved in subrecipient's portion of this project. IACUC Assurance #: _____

Recombinant DNA and Transgenic Organisms

- Yes No Recombinant DNA and/or transgenic organisms will be used in the subrecipient's portion of this project.

Export Controlled Data/Materials/Equipment (Required)

Yes No Does Subrecipient Scope of Work involve foreign travel; sending/transporting or receiving anything from outside of the U.S.; OR is any member of the research team a Foreign National? If "Yes," list name(s) and country(ies): _____

Other Compliance Certifications (Required) Subrecipient's proposal work involves/may involve the following (check all that apply):

Stem Cells Clinical Trials Select Agents Confidential Information Proprietary Materials

SECTION E: Certifications

Audit Status (Required)

Yes No Does the subrecipient organization receive an annual audit in accordance with 2 CFR 200 ("Uniform Guid.")?

If "Yes":

- Provide a URL link to the most recent audit report: _____
- Were there any findings or exceptions noted in the most recent audit? Yes No If "Yes," attach an explanation.
- In the last 3 years, have any material weaknesses been identified in subrecipient organization's single audit report? Yes No If "Yes," provide a URL link to the report: _____

If "No": Indicate why Subrecipient is not subject to these audit requirements.

Subrecipient organization is: *Select from List*

Fiscal Compliance (Required). Subrecipient:

- Yes No has the capability to identify, in its accounts, all Federal awards received and expended and the Federal programs under which they were received.
- Yes No maintains internal controls to assure that it manages Federal awards in compliance with applicable laws, regulations, and the provision of contracts and grants.
- Yes No can prepare appropriate financial statements, including schedule of expenditures of Federal awards.

Conflict of Interest (COI) Policy and Procedures (Required if box is checked:)

Subrecipient has implemented a written policy regarding financial conflicts of interest that complies with 42 CFR 50, Subpart F. Subrecipient also certifies, to the best of the Institution's knowledge that:

1. All employees and identified trainees/students who will be responsible for the design, conduct, or reporting of research for the subrecipient have been required to disclose any conflicts of interest to the subrecipient's institutional official.
2. Any conflicts of interest disclosed by the subrecipient's employees or trainees/students who will be involved in the project will be appropriately managed, reduced, or eliminated prior to expenditure of funds under any resultant agreement.
3. Disclosed conflicts of interest will be reported to UNCG before funding begins.

The Subrecipient does not have an active and/or enforced COI policy and hereby agrees to abide by UNCG's policy and related procedures, as specified at <http://sponsoredprograms.uncg.edu/conflict-of-interest/>

Federal Financial Accountability & Transparency Act (FFATA) Information (Required if box is checked:)

- Yes No In its most recent fiscal year, subrecipient organization received 80% or more of its annual gross revenues in federal awards AND twenty-five million (\$25M) or more in annual gross revenues from federal awards.
- Yes No Subrecipient organization does NOT regularly report information regarding the compensation of its senior executives to the SEC. (more information: <https://www.fsr.gov/>)

Responsible Conduct of Research (RCR) Training (Required if box is checked: , and sponsor is PHS/NIH or NSF)

- Yes No NIH: Subrecipient Organization will monitor and maintain records of individual RCR training plans in accordance with NIH's RCR training requirements for NIH Grants for Training and Fellowship awards.
- Yes No NSF / USDA-NIFA: Subrecipient Organization has a training program in place and will train all undergraduate and graduate students and postdoctoral trainees in accordance with NSF or USDA-NIFA's RCR requirements.

SECTION F: Subrecipient Official Authorization

The information, certifications, and representations above have been read, signed, and made by an authorized official of the Subrecipient. **All proposal documents included in proposal submission (Scope of Work, Budget, etc.) are covered by the certifications in this form.** The appropriate programmatic and administrative personnel of the subrecipient are aware of the prime funding agency's policy in regards to Subawards and are prepared to establish the necessary interinstitutional agreement consistent with those policies. **Subrecipient understands that any expenses incurred prior to execution of a subagreement are at the Subrecipient's own risk.**

Subrecipient Organizations' Authorized Official's Signature

Date